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PROGRAMME PLANNING

Preparations for the fifth programming cycle, including funding strategy

Special Programme Resources: Overview and programming documents

Report of the Administrator

Addendum

SUMMARY

The present document contains a summary of the programming documents for each of the subcategories of Special Programme Resources prepared according to the structure requested by the Governing Council in its decision 91/3 of 22 February 1991. Full background information is available as a conference room paper (DP/1991/CRP.1).

SPECIAL PROGRAMME RESOURCES: SUMMARY OF PROGRAMME DOCUMENTS

Category A: Disaster mitigation

Earmarking: $40 million

Subcategory A1: Disaster preparedness and management

The objectives of the subcategory are to support the formulation of comprehensive disaster-preparedness plans, strategies and structures. The types of activities to be funded will include institutional support and training, and programme development reviews to link disaster prevention and preparedness with longer-term development planning. In allocating funds, preference will be given to countries which exhibit the highest degree of vulnerability to disasters.

Subcategory A2: Emergency relief

The subcategory aims to provide catalytic direct assistance to peoples affected by disaster to enable rapid needs assessments to be conducted, and to support immediate relief needs. All countries in receipt of UNDP assistance which have suffered a significant emergency will be eligible. Evidence that an emergency exists can be based on a declaration by the Office of the United Nations Disaster Relief Coordinator (UNDRO) or, in agreement with government, on a decision by the United Nations Disaster Management Team.

Subcategory A3: Reconstruction and rehabilitation

The objectives are to assist in the rehabilitation and reconstruction of development assets and to support governments in increasing the effectiveness of existing measures in this field. Activities will be those directly supportive of the restoration of development assets following a disaster. All countries in receipt of UNDP assistance and which have suffered significant economic damage as a result of disaster will be eligible.

Subcategory A4: Refugees, displaced persons and returnees

The subcategory aims to assist the rehabilitation, reconstruction and development of areas affected by large refugee movements, support the reintegration of returnees, assist in the coordination of assistance to displaced persons, and fill crucial gaps in relief assistance to internally displaced persons. In assisting refugees and returnees, funds will be utilized for needs assessments and project development. In filling gaps, the resident coordinator will certify that needs addressed are crucial and that no other agency is available to meet them within the time required.

Category B: Thematic activities

Earmarking: $115 million

Subcategory B1: Poverty eradication and grass-roots participation in development

The aims of this subcategory are to develop new concepts and methods to promote poverty alleviation and grass-roots participation, to support pilot and demonstration work, and to mainstream the new approaches into UNDP programmes and projects. The focus of activities will be on social learning and adaptation to local diversity, experimentation, and working with new partners. Among the criteria for approving the use of funds will be the catalytic role of the proposed activity with respect to national capacity-building and resource mobilization.

Subcategory B2: Environmental problems and natural resources management

The subcategory aims to strengthen the participation of governments and people in national environmental efforts; to promote more informed choices about the environment; to support global, regional and special environmental initiatives, including the United Nations Conference on Environment and Development (UNCED) and its follow-up; to improve capacity to promote sustainable development; and to integrate environmental concerns into all aspects of UNDP work. The emphasis will be on demand-driven support at the country level.

Subcategory B3: Management development

The Management Development Programme aims to continue to assist governments to improve public sector management capacity in the context of changing relations between private and public sectors. SPR resources will support management development activities in new countries, and innovative and experimental programmes in countries where activities have begun. Criteria for the use of funds will include strong political commitment from national governments to reforms calling for substantial institutional capacity-building, the existence of a policy framework for management improvement, the sustainability of efforts, linkages to other externally supported activities and the catalytic role of SPR resources.

Subcategory B6: Women in development

The major objective of the subcategory is to develop more effective approaches to mainstreaming women-in-development issues into all aspects of UNDP work. This will involve the preparation of operational guidelines to assist field offices to implement the women-in-development mandate, gender training for UNDP, United Nations specialized agency and government personnel, and strengthened national and regional women-in-development capacities for the preparation, implementation, monitoring and evaluation of programmes and projects. Funds will be allocated to promote enhanced women-in-development capacities in all regions.

Category C: Other special and/or new activities

Earmarking: $53 million

Subcategory C1: Human Development Report

The Human Development Report will be prepared annually and will involve an update of recent events of special importance to human development, a special focus chapter, a continuation of the debate on the concept and measurement of human development, and updated human development indicator tables.

Subcategory C2: Special Plan of Economic Cooperation for Central America

The subcategory is aimed at capacity-building in Central American governmental and regional organizations, in areas such as: refugees, returnees and displaced persons; the restructuring and strengthening of Central American integration institutions; social development; the environment and the sustainable development of natural resources; and the development of the private sector. Support will be given for training, technical meetings, preparatory assistance, testing of pilot activities and the design of longer-range projects.

Subcategory C3: United Nations Programme of Action for African Economic Recovery and Development (UNPAAERD)

Two major areas are proposed in this subcategory: (a) national long-term perspective studies and (b) the African Capacity-Building Initiative. SPR will be used to develop methodologies for long-term planning and for coordinating short- and long-term plans and programmes. Under (b), the African Capacity-Building Initiative Management Team will be supported, which will mobilize financial and technical resources for increased investment in human capital and institutional development in Africa on a sustainable and long-term basis.

Subcategory C4: Drug abuse control/crop substitution

The aim of the subcategory is to build on past lessons and to support ongoing measures with strategic and innovative interventions. Activities will include analyses of different approaches, exchange of experiences and training. Emphasis will be on catalytic functions, to integrate drug abuse control and crop substitution concerns into relevant UNDP country activities.

Subcategory C5: HIV/AIDS

The subcategory aims to develop innovative approaches to the design of effective projects and programmes relating to HIV/AIDS and development, and to strengthen national capacity to respond to the pandemic. Activities will include field-based training programmes, the preparation and dissemination of a handbook and other materials, and development of gender-sensitive strategies for prevention and support. SPR-funded activities will stimulate greater

relevance and improved quality of indicative planning figure (IPF)-funded programmes and projects.

Subcategory C7: World Conference on Education for All

As a follow-up to the World Conference on Education for All held at Jomtien, Thailand, in 1990, the subcategory will aim to put into operation recommendations from the two UNDP round-table meetings at the Jomtien Conference, and assist in mainstreaming the principles contained in the Jomtien Declaration and Framework for Action into country programmes. The strategy will focus on strengthening national capacity to diagnose and assess basic learning needs.

Subcategory C8: Private sector development

The major aims of the subcategory are to develop innovative concepts for strengthening the partnership relationship between government and the private sector, to put into operation proven concepts through IPF and other donor funding, and to promote the expansion of activities related to the private sector in UNDP country programmes. Activities will be focused on the following strategic areas: the creation of an environment conducive to private investment; capital market development and investment promotion; business development with emphasis on small and medium-sized enterprises; and privatization.

Subcategory C9: Non-governmental organizations

This subcategory aims to strengthen the capacities of indigenous non-governmental organizations (NGOs). Activities will include workshops and training, support for networking among NGOs, and exchanges of information. Support will concentrate on small-scale, low-cost, innovative activities which can be replicated and on collaborative arrangements to facilitate cost-sharing. Criteria will involve assessment of the actual/potential ability of an NGO to contribute in a priority area, the level of development of the NGO, its record and quality of leadership.

Category D: Aid Coordination

Earmarking: $35 million

Subcategory D1: NaTCAPs, round-table meetings and UNDP support to Consultative Group meetings

The aims of this subcategory are first, to support the development of national strategies for the management of technical cooperation, by means of the completion of national technical cooperation assessments and programmes (NaTCAPs) in all least developed countries (LDCs) and all countries of the Africa region, and by support for a second NaTCAP cycle in countries which have completed the first cycle. Secondly, UNDP will support the dialogue between recipient governments and donors by means of round-table meetings, by

strengthening capacity in recipient countries in the management and backstopping of round-table meetings. Thirdly, UNDP will work with governments to table pertinent issues for discussion at Consultative Group meetings concerning technical cooperation.

Subcategory D2: Others, e.g., needs assessments, country programme reviews

Needs assessments will be undertaken to identify the needs of a country for technical cooperation for the achievement of sectoral or thematic development objectives. Needs assessments will be considered only for non-round-table countries. Country programme reviews will be held for all UNDP programmes, and will continue to examine the validity of the country programme in terms of national goals and priorities, and in terms of the utilization of UNDP resources. Special attention will be given in the fifth programming cycle to the way in which the areas of focus of decision 90/34 have been addressed.

Subcategory D3: Country programming initiatives

This subcategory aims to assist in the development of country programming in the following ways: the definition of the programme approach; the mechanisms used to formulate, deliver, monitor and sustain technical cooperation in conformity with the programme approach; and the application of the programme approach to the new challenges of decision 90/34, involving activities such as the application of an integrated approach to human development to country programmes and support for the development of social adjustment strategies to accompany structural adjustment.

Category E: Programme development

Earmarking: $29 million

Subcategory E1: Programme Development Facility

The Programme Development Facility will continue to support the building-up of a pipeline of projects, to attract third-party financing, and to develop non-traditional and innovative projects. In addition, in the fifth cycle, the emphasis will be on ensuring that the six areas of focus of decision 90/34 are reflected in projects financed from fifth programming cycle resources.

Subcategory E2: Programme evaluation/training

The aims of the subcategory are to assist countries to strengthen the monitoring and evaluation of their own development; to enable the Central Evaluation Office to respond to an increasing range of substantive concerns for policy, strategy, programme and process evaluations; and to draw lessons from the project cycle and disseminate them. Activities will include joint evaluations with donors, recipient countries and executing agencies; training; the development of evaluation databanks; the dissemination of lessons from evaluations; thematic and cluster evaluations; and case-studies.

Subcategory E3: Programme research

The subcategory aims to strengthen the analytic capability of UNDP; to analyse existing areas of technical cooperation in order to increase its effectiveness; and to strengthen research capacity in developing countries by involving developing country researchers and institutions in its implementation. Research will be initiated on a number of major themes, all closely related to ongoing or future activities of UNDP, including national capacity, women in development and the effectiveness of alternative forms of technical cooperation.

Category F: Assistance to the Palestinian people

Earmarking: $15 million

The category will continue to select projects in accordance with resolutions and decisions of the General Assembly, the Economic and Social Council and the Governing Council. Attention will continue to be paid to infrastructure activities such as schools, hospitals, water supply and sanitation, and in addition, urgent action will be taken to address problems of unemployment and to enhance agricultural and industrial production. Activities will be limited to the occupied Palestinian territories.